



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/694,071
Filing Date	October 27, 2003
First Named Inventor	Vincent A. Larsen
Art Unit	2196 2132
Examiner Name	Beatrice L. Koempel Thomas
Attorney Docket Number	24089-09273

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
BKT	A1	US-7,114,078	09-26-2006	Carman
	A2	US-6,915,433	07-05-2005	Barber
	A3	US-2005/0097318 A1	05-05-2005	Bolosky et al.
	A4	US-6,857,067	02-15-2005	Edelman
	A5	US-6,847,991	01-25-2005	Kurapati
	A6	US-2004/0103202 A1	05-27-2004	Hildebrand et al.
	A7	US-2004/0100972 A1	05-27-2004	Lumb et al.
	A8	US-2002/0120755 A1	08-29-2002	Gomes et al.
	A9	US-2002/0099837 A1	07-25-2002	Oe et al.
	A10	US-2001/0056494 A1	12-27-2001	Trabelsi
	A11	US-6,178,508	01-23-2001	Kaufman
BKT	A12	US-5,925,126	07-20-1999	Hsieh

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
BKT	B1	EP 0924630 A1	06-23-1999	More Magic Software MMS OY	

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

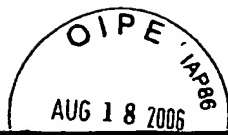
Examiner Signature	/Beatrice Koempel-Thomas/	Date Considered	12/13/2006
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24089/09273/DOCS/1671948.1



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Application No.	10/694,071
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First Named Inventor	Vincent A. Larsen
Art Unit	2135 2132
Examiner Name	Kim Y. Vu Bea Koempel-Thomas
Attorney Docket Number	24089-09273

Sheet 1 of 1

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BKT	A1	US-2002/0138756 A1	09-26-2002	Makofka et al.
	A2	US-2002/0073072 A1	06-13-2002	Fukumoto
	A3	US-5,925,126	07-20-1999	Hsieh
BKT	A4	US-4,104,718	08-01-1978	Poublan et al.

FOREIGN PATENT DOCUMENTS

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BKT	C1	COHEN, E. et al., "Protection in the Hydra Operating System," Fifth Symposium on Operating Systems Principles, November 19-21, 1975, pp. 141-160, [online] Retrieved from the Internet<URL: http://delivery.acm.org/10.1145/810000/806532/p141-cohen.pdf?key1=806532&key2=9644615511&coll=&dl=GUIDE&CFID=15151515&CFTOKEN=6184618 >	
BKT	C2	SPENCER, R. et al., "The Flask Security Architecture: System Support for Diverse Security Policies," [online] Retrieved from the Internet<URL: http://www.nsa.gov/selinux/papers/flask.pdf >	


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24089/09273/DOCS/1646957.1

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		Filing Date	October 27, 2003
		First Named Inventor	Vincent A. Larsen et al.
		Art Unit	2135 2132
		Examiner Name	Kim Y. V. Bea Koempel-Thomas
Sheet 1 of 1	Attorney Docket Number	24089-09273	

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BKT	A1	US-6,381,694	04-30-2002	Yen
	A2	US-6,282,618	08-28-2001	Flenley
	A3	US-6,249,867	06-19-2001	Patel
	A4	US-6,185,696	02-06-2001	Noll
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	A7	US-6,038,562	03-14-2000	Anjur et al.
	A8	US-5,826,242	10-20-1998	Montulli
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶
BKT	C1	International Search Report, PCT/US03/02716, April 4, 2003, 4 pages.			
	C2	Written Opinion, PCT/US03/02716, November 11, 2003, 5 pages.			
BKT	C3	PARK, J.S. et al., "Role-Based Access Control on the Web," ACM Transactions on Information and System Security, pp. 37-71, February 2001, vol. 4, no. 1.			

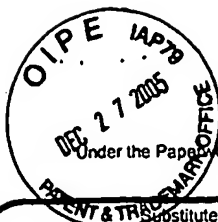
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24089/09273/DOCS/1602308.1



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First Named Inventor	Vincent A. Larsen
Art Unit	2135 2132
Examiner Name	Kim Y. VuBea Koempel-Thomas
Attorney Docket Number	24089-09273

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
BKT	A1	US- 6,088,451	07-11-2000	He et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	B1				

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	C1		

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24089/09273/DOCS/1582459.1



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		Application No.	10/694,071
		Filing Date	October 27, 2003
		First Named Inventor	Vincent Alan Larsen
		Art Unit	2131 2132
Examiner Name	Not Yet Known Bea Koempel-Thomas		
Attorney Docket Number	24089-09273		
Sheet	1	of	1

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
BKT	A1	US-6,779,117	08-17-2004	Wells
	A2	US-6,745,332	06-01-2004	Wong et al.
	A3	US-2002/0182013 A1	10-31-2002	Burnett et al.
	A4	US-2002/0026592 A1	02-28-2002	Gavrila et al.
	A5	US-2001/0056494 A1	12-27-2001	Trabelsi
	A6	US-2001/0047463 A1	11-29-2001	Kamano et al.
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PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT Supplemental				Application No.	10/694,071
				Filing Date	October 27, 2003
				First Named Inventor	Vincent Alan Larsen
				Art Unit	Not yet known ²³¹²
				Examiner Name	Not yet known ^{Bea Koempel-Thomas}
Sheet	1	of	1	Attorney Docket Number	24089-09273

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		US-		
		US-		

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BKT		HALLYN, SERGE E., KEARNS, PHIL, "Domain and Type Enforcement for Linux", [online], [retrieved on 2004-09-21]. Retrieved from the Internet <URL: http://www.usenix.org/publications/library/proceedings/atls00/2000papers/papers/full_papers_pp_1-16			

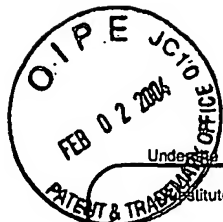
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Sheet 1 of 2

Complete if Known

Application Number	10/694,071
Filing Date	October 27, 2004
First Named Inventor	Larsen
Art Unit	To Be Assigned 2132
Examiner Name	To Be Assigned Bea Koempel-Thomas
Attorney Docket Number	SAGE-26,515

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
BKT	01	US- 4,901,231	02/13/1990	Bishop et al.	
	02	US- 4,984,272	01/08/1991	McIlroy et al.	
	03	US- 5,032,979	07/16/1991	Hecht et al.	
	04	US- 5,109,515	04/28/1992	Laggis et al.	
	05	US- 5,113,442	05/12/1992	Moir	
	06	US- 5,200,998	04/06/1993	Bakaher et al.	
	07	US- 5,301,337	04/05/1994	Wells et al.	
	08	US- 5,469,556	11/21/1995	Clifton	
	09	US- 5,483,596	01/09/1996	Rosenow et al.	
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BKT	11	US- 6,606,695	11/29/01	Kamano et al.	
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FOREIGN PATENT DOCUMENTS

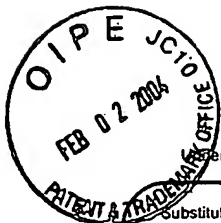
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Sheet

2

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October 27, 2004

First Named Inventor

Vincent Alan Larsen

Art Unit

~~To Be Assigned~~ 2132

Examiner Name

~~To Be Assigned~~ Bea Koempel-Thomas

Attorney Docket Number

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NON PATENT LITERATURE DOCUMENTS

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BKT	12	Null-Packet Transmission From Inside a Firewall to Open a Communication Window for An Outside Transmitter, Boyle et al., U.S. 2003/0018912 A1, 01/23/2003, Serial No. 09/682,084	
	13	International Search Report, PCT/US03/02716, International Searching Authority (ISA/EP) 04/04/2003	
	14	W. WULF, R. LEVIN, C. PIERSON, "Overview of the Hydra Operating System Development", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp.i-ii, 122-131, Association for Computing Machinery, Inc., New York	
	15	ELLIS COHEN and DAVID JEFFERSON, "Protection in the Hydra Operating System", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp. i-ii, 141-160, Association for Computing Machinery, Inc., New York	
	16	LEE BADGER ET AL., "A Domain and Type Enforcement UNIX" Prototype", 5th UNIX Security Symposium, June 1995, pp. 127-140,	
	17	H. KRAWCZYK ET AL., "HMAC-MD5: Keyed-MD5 for Message Authentication", Network Working Group Internet Draft, March 1996; pp. i, 1-8	
	18	BART PRENEEL, "IP Authentication using Keyed MD5 / The ESP DES-CBC Transform", Katholieke Universiteit Leuven, Belgium, July 1995, pp. 1-4	
BKT	19	P. METZGER, "IP Authentication using Keyed MD5", RFC 1828 (RFC1828), August 1995, pp. 1-5	

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Signature

/Beatrice Koempel-Thomas/

Date
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